

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

:

Joel S. Echols, et al.

:Group Art Unit: 1615

Serial No. 10/688,539

:Examiner: Gollamudi S. Kishore

Filed: October 17, 2003

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For THREE LAYER ARTIFICAL TEAR FORMULATION

INFORMATION DISCLOSURE STATEMENT WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SIR:

A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98. This paper is submitted in accordance with 37 CFR 1.97(b) (within three (3) months of the application filing date or prior to a first Office Action on the merits).

Date

Patrick R. Scanlon Reg. No. 34,500

207-791-3110

MAR 3 1 2006

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for Con 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/688,359		
Filing Date	10-17-2003		
First Named Inventor	Echols		
Art Unit	1615		
Examiner Name	Gollamudi S. Kishore		
Attorney Docket Number	32328US02		

		·	U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (7 known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		^{US-} 4,818,537	04-04-1989	Guo	
		^{US-} 4,914,088	04-03-1990	Gionek et al.	
		^{US-} 4,744,980	05-17-1988	Holly	
•		^{US-} 5,371,108	12-06-1994	Korb et al.	
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		FORE	IGN PATENT DOCL	IMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	To
		EP 1 020 194 A1	07-19-2000	Wakamoto Pharmaceutical		
		WO 83/00091	01-20-1983	Keith		
		EP 0 448 762 A1	10-02-1991	Kaufman	17	
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Examiner	Date	
Signature		
Cignataro	Considered	

*EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. (Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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